

## Pharmacokinetic study of rectal artesunate in children with severe malaria in Africa

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**Running title:** Rectal Artesunate in African children

### 1 Abstract

2 When severe malaria is suspected in children, WHO recommends pre-treatment with a  
3 single rectal dose of artesunate before referral to an appropriate facility. This was an  
4 individually randomized, open-label, 2-arm, cross-over clinical trial in 83 Congolese children  
5 with severe *falciparum* malaria, to characterize the pharmacokinetics of rectal artesunate.

6 At admission, children received a single dose of rectal artesunate (10 mg/kg) followed 12  
7 hours later by intravenous artesunate (2.4 mg/kg) or the reverse order. All children also  
8 received standard doses of intravenous quinine. Artesunate and dihydroartemisinin were  
9 measured at eleven fixed intervals, following 0- and 12-hour drug administrations. Clinical,  
10 laboratory and parasitological parameters were measured. After rectal artesunate,  
11 artesunate and dihydroartemisinin showed large inter-individual variability (peak  
12 concentrations of dihydroartemisinin ranged from 5.63 to 8,090 nM). The majority of  
13 patients however, reached previously suggested *in vivo* IC<sub>50</sub> (98.7%) and IC<sub>90</sub> (92.5%) values  
14 of combined concentrations of artesunate and dihydroartemisinin between 15 to 30  
15 minutes after drug administration. The median (IQR) time above IC<sub>50</sub> and IC<sub>90</sub> was 5.68 hours  
16 (2.90-6.08) and 2.74 hours (1.52-3.75), respectively. The absolute rectal bioavailability (IQR)  
17 was 25.6% (11.7-54.5) for artesunate and 19.8% (10.3-35.3) for dihydroartemisinin. The  
18 initial 12-hour parasite reduction ratio was comparable between rectal and intravenous  
19 artesunate: median (IQR) 84.3% (50.0-95.4) vs. 69.2% (45.7-93.6), respectively (p=0.49).  
20 Despite large inter-individual variability, rectal artesunate can initiate and sustain rapid  
21 parasitocidal activity in most children with severe *falciparum* malaria, while they are  
22 transferred to a facility where parenteral artesunate is available. ([www.clinicaltrials.gov](http://www.clinicaltrials.gov):  
23 NCT02492178)

## 24 Introduction

25 Parenteral artesunate is the treatment of choice for severe *falciparum* malaria (1).  
26 Intravenous or intramuscular artesunate was associated with a substantial reduction in  
27 mortality when compared with the previous first-line treatment, quinine (2, 3).

28 Unfortunately, many children with severe malaria die before or just after reaching a facility  
29 capable of administering parenteral drugs. To address this need, a rectal formulation of  
30 artesunate has been developed which has been shown in very large community-based trials  
31 to reduce malaria mortality in children unable to tolerate oral medications reliably (4-10).  
32 These trials, placebo-controlled, were conducted in Ghana (n=2238, 6-72 months old),  
33 Tanzania (n=3802, 6-72 months old) and Bangladesh (n=2010, 6-72 months old; n=4018,  
34 older children and adults) (5). In young children in Africa and Asia, rectal artesunate was  
35 associated with a reduced risk of death compared to placebo (n=8050, RR=0.74, 95% CI  
36 0.59-0.93). In older children and adults in Bangladesh, rectal artesunate was associated with  
37 a more than two-fold increase in the risk of death compared to placebo (n=4018, RR=2.21,  
38 95% CI 1.18-4.15, p=0.01) (7). No satisfactory explanation was found for this paradoxical  
39 finding. One concern was the possibility of artesunate toxicity as the absorption of rectal  
40 artesunate is erratic (11-13) and the dose given is around four times larger than the  
41 parenteral dose. Artesunate is rapidly metabolised, mainly by blood esterase and  
42 cytochrome P450 (CYP) 2A6, into its active metabolite dihydroartemisinin (14).  
43 Dihydroartemisinin is metabolised via glucuronidation by uridine-diphosphate-  
44 glucanosyltransferase (UGT)A1, UGT1A9 and UGT2B7 into inactive metabolites, which are  
45 renally eliminated (15, 16). Artesunate and dihydroartemisinin have very short biological  
46 half-lives of less than 30 minutes and approximately 1 hour, respectively, after both oral and  
47 parenteral administration of artesunate (17). To address concerns about a possible low  
48 efficacy and/or toxicity resulting from the erratic absorption of rectal artesunate, that have  
49 negatively impacted on its deployment, we conducted a randomised cross-over  
50 pharmacokinetic study of rectal artesunate vs. intravenous artesunate in children with

51 severe malaria in the Democratic Republic of the Congo at a time when parenteral quinine  
52 was still deployed as part of the first line treatment of malaria.

### 53 **RESULTS**

54 From the 11<sup>th</sup> July to the 6<sup>th</sup> October 2015, 136 patients with severe malaria were screened  
55 and 82 enrolled (Figure 1). Ten patients were added to the original sample size (n=72): in 7  
56 cases a protocol deviation in the pharmacokinetic sampling scheme was reported, one  
57 patient expelled the study drug twice, one patient's worsening conditions did not allow  
58 blood sampling, and one patient died 10 hours after enrolment. The latter was  
59 retrospectively evaluated as having not met study inclusion criteria as the child had received  
60 a full treatment of artemether–lumefantrine and an unidentified traditional medicine  
61 before coming to the hospital, but the information was disclosed to the staff only after  
62 enrolment. Available data from all 82 patients who were randomized and allocated to study  
63 treatments were included in this intention-to-treat analysis.

### 64 **Medical history**

65 According to medical history, children were brought to the centre mainly because of fever  
66 (82/82, 100.0%; mean fever duration 3.7 days, 95% CI 3.4-4.1), severe prostration (64/82,  
67 78.0%; mean duration 1.6 days, 95% CI 1.4-1.8) and convulsions (7/82, 8.5%). Other  
68 symptoms reported were gastrointestinal disorders (vomiting, abdominal pain, nausea),  
69 anorexia, asthenia and symptoms of an upper respiratory infection, with no significant  
70 differences between arms. Prior to admission, 3 children had received an Artemisinin-based  
71 Combination Therapy (ACT), 19 oral quinine, 3 intramuscular artemether and 1 intravenous  
72 (IV) quinine. The most common severity signs at screening were prostration (65/82, 79.3%),

73 respiratory distress (64/82, 78.0%), coma (14/82, 17.1%) and severe anaemia (25/82,  
74 30.5%), (Table S1). Comorbidities included acute renal failure (n=1), gastritis and suspected  
75 gastric ulcer (n=2), upper respiratory infection (n=1), suspected sepsis (n=2) and suspected  
76 meningitis (n=3). At admission, the two treatment arms were well matched with no  
77 significant differences (Table 1, S2-S4). Twenty-seven children were malnourished (27/79,  
78 34.2%), 9 of whom were severely malnourished ('malnourished' was defined as a composite  
79 variable of wasted, stunted and underweight). In 8 cases, 2 in the arm that received rectal  
80 artesunate first (RAS<sub>f</sub>) and 6 in the arm that received IV artesunate first (IVAS<sub>f</sub>), patients  
81 developed complications not present at admission, or not reported by the caregiver. These  
82 included black water fever, convulsions, posturing, coma or deterioration of the coma score,  
83 severe anaemia and respiratory distress.

#### 84 **Clinical and parasitological response to treatment**

##### 85 **Parasitaemia**

86 Children with symptoms of severe malaria were enrolled if they had a positive malaria Ag  
87 Pf/Pan SD BIOLINE Rapid Diagnostic Test at screening. The mean (geometric, 95% CI)  
88 peripheral blood parasitaemia at admission was 33,733/ $\mu$ L (15,031-75,702) in RAS<sub>f</sub> arm and  
89 40,067/ $\mu$ L (19,484-108,920) in IVAS<sub>f</sub> arm, p=0.31. The mean (geometric, 95% CI) peripheral  
90 blood parasitaemia at first treatment (H0) was 40,111/ $\mu$ L (18,788-85,636) in RAS<sub>f</sub> arm and  
91 40,658/ $\mu$ L (16,261-101,656) in IVAS<sub>f</sub> arm (p=0.29). The median (range) plasma PfHRP2 level  
92 was 1,674.1 ng/mL (8.6-21,540.8) in RAS<sub>f</sub> arm and 1,442.8 ng/mL (35.8-25,000.0) in IVAS<sub>f</sub>  
93 arm, p=0.33 (Table 1). Retrospectively, 3 patients were negative by microscopy and 2 had  
94 only *falciparum* gametocytes; the plasma PfHRP2 in these 5 cases ranged from 8.6 to 522.0  
95 ng/mL. Two of these patients had received amodiaquine-artesunate and quinine tablets

96 prior to admission and reported a history of fever of 5 and 7 days, respectively. Children  
97 who received a treatment before arriving at the centre (documented or self-reported) had a  
98 lower parasitaemia at admission (n=23, 14,780/ $\mu$ L, 95% CI 4,024-54,285) compared to those  
99 who did not (n=53, 62,498/ $\mu$ L, 95% CI 33,601-116,249, p=0.06). The parasite reduction ratio  
100 (PRR) from hour 0 to 12 was comparable between arms with a median (IQR) PRR of 84.3%  
101 (50.0%-95.4%) in the RAS<sub>f</sub> group and 69.2% (45.7%-93.6%) in the IVAS<sub>f</sub> group (p=0.49). The  
102 estimated median (range) time for parasitaemia to decrease by half was 2.2 hr in RAS<sub>f</sub> arm  
103 (1.3-7.6) and 2.5 hr in IVAS<sub>f</sub> arm (1.2-12.0), with no difference between arms (p=0.64) (Table  
104 2 and Table 3). The limit of detection was 16 parasites/ $\mu$ L and 1 case was excluded for  
105 insufficient data points.

#### 106 **Haematology**

107 The mean (SD) haemoglobin (Hb) at H0 was: 7.1 (2.5) g/dL in RAS<sub>f</sub> arm and 6.9 (2.3) g/dL in  
108 IVAS<sub>f</sub> arm. Children with the sickle trait (n=5) had a mean (SD) Hb of 6.5 (3.1) g/dL, while the  
109 two children with sickle cell disease had 3.7 and 3.9 g/dL at admission. Fifty-three children  
110 received a blood transfusion: 26 (65.0%) in RAS<sub>f</sub> arm and 27 (64.3%) in IVAS<sub>f</sub> arm (p=0.95).  
111 From admission to 12 hours (before the cross-over), the median (IQR) difference in Hb was -  
112 2.5 (-4.3, 0.7) in RAS<sub>f</sub> arm and -2.2 (-3.9, 0.6) in IVAS<sub>f</sub> arm (p=0.75, Table 3). By day 7, the  
113 mean Hb (SD) was 9.6 (1.4) g/dL in RAS<sub>f</sub> arm (n=38) and 9.3 (1.6) g/dL in IVAS<sub>f</sub> arm (n=41,  
114 p=0.46 adjusted for baseline Hb) and by day 14, 10.6 (1.0) g/dL in RAS<sub>f</sub> arm (n=36) and 10.2  
115 (1.5) g/dL in IVAS<sub>f</sub> arm (n=40, p= 0.21 adjusted for baseline Hb).

#### 116 **Follow-up visits and neurological assessment**

117 The median time of hospitalization was 3 days (range 3-14 days). There were no significant  
118 differences between arms in the recovery time (median time from admission to sit  
119 unsupported ( $p=0.74$ ), speak ( $p=0.41$ ), localise painful stimuli ( $p=0.58$ ), eat/breastfeed  
120 ( $p=0.95$ ). Only one patient (randomised to IVAS<sub>f</sub> arm; received 11.8 mg/kg of rectal  
121 artesunate at 12 hours) developed neurological sequelae (unable to walk); the patient was  
122 admitted with a Glasgow Coma Score of 9/15, 9,546 parasites/ $\mu$ L, Hb 6.7 g/dL and  
123 temperature 38.0°C, developed convulsions and posturing after admission and was in  
124 hospital for 4 days. The sequelae completely resolved by day 14.

#### 125 **Adverse events**

126 Forty-nine patients (59.8%) reported one or more adverse events of mild or moderate  
127 intensity, without differences between arms. Most patients had fluctuations in the  
128 electrolytes ( $n=31$ ) or WBC and platelets counts ( $n=7$ ) above or below the normal range.  
129 One child developed pruritus after IV artesunate administration; this was classified as  
130 possibly related. The remaining cases were all classified as unlikely to be related or  
131 unrelated to drug administration (Table 4).

#### 132 **Rectal artesunate administration**

133 In RAS<sub>f</sub> arm, 5 patients expelled the suppositories within 60 minutes (range, 2-29 minutes),  
134 and a new dose was administered. In IVAS<sub>f</sub> arm, 5 patients expelled the suppositories within  
135 60 minutes (range, 10-15 minutes) and a new dose was administered. One patient also  
136 expelled the second dose. These 10 events were classified as possibly related to rectal  
137 artesunate administration. Children in the first weight group (6.0-12.9 kg) received (median,

138 IQR) 9.1 (8.3-10.0) mg/kg of rectal artesunate, 12.5 (10.7-14.3) mg/kg in the second group  
139 (13.0-23.9 kg) and 10.7 (9.8-11.8) mg/kg in the third group (24.0-34.0 kg) (Table S5).

#### 140 **Pharmacokinetic properties of rectal artesunate**

141 Data from 80 patients (two patients with data from only 1 time point were excluded) were  
142 used to evaluate the pharmacokinetic properties of artesunate and dihydroartemisinin after  
143 intravenous and rectal administration. After rectal administration, both artesunate and  
144 dihydroartemisinin reached peak plasma concentrations relatively fast, resulting in a median  
145 (IQR) time to reach maximum concentration ( $T_{MAX}$ ) of 0.5 hr (0.25-0.75) for artesunate and  
146 1.0 hr (0.75-2.00) for dihydroartemisinin. However, individual concentration-time profiles of  
147 both artesunate and dihydroartemisinin showed large inter-individual variability in both  
148 arms, especially after rectal administration (Figure 2). The absolute peak concentrations of  
149 dihydroartemisinin varied between 5.63 nM and 8,090 nM after rectal administration (*i.e.*,  
150 1,000-fold variation). Almost all patients (79/80, 98.7%) reached the putative  $IC_{50}$  value of  
151 34.9 nM, and most patients (74/80, 92.5%) reached the  $IC_{90}$  value of 314 nM, at a median  
152 (IQR) time of 0.25 hr (0.25-0.25) and 0.25 hr (0.25-0.50), respectively (Figure 3). Time above  
153 the putative  $IC_{50}$  varied between patients, with a median 5.68 hr (2.90-6.08) above the  $IC_{50}$   
154 value and 2.74 hr (1.52-3.75) above the  $IC_{90}$  value (Figure 3). Two patients had a zero  
155 duration above the  $IC_{90}$ , since only one observation was above the cut-off. The median (IQR)  
156 rectal bioavailability was estimated to be 25.6% (11.7-54.5) for artesunate and 19.8% (10.3-  
157 35.3) for dihydroartemisinin, emphasising the large inter-individual pharmacokinetic  
158 variability. Similar results were obtained if all patients that expelled the suppositories were  
159 excluded from the pharmacokinetic analysis. A detailed description of patients who did not

160 reach IC<sub>50</sub> (n=1) or reached IC<sub>90</sub> later than others (n=2) is presented in the Supplementary  
161 Materials.

162 Compared to intravenous artesunate, rectal artesunate had a lower [median (IQR)]  
163 maximum concentration (C<sub>max</sub>, nM) [442 (213-813) vs. 2,560 (1,450-5,200)], later time to  
164 reach the maximum concentration (T<sub>max</sub>, hr) [0.500 (0.250-0.750) vs. 0.083 (0.083-0.100)],  
165 and lower total drug exposure (AUC<sub>T</sub>, area under the concentration-time curve until the last  
166 measurable concentration, h x nM/μmole) [2.57 (1.68-4.57) vs. 10.20 (5.92-14.00)].  
167 Whereas, the terminal elimination half-life (t<sub>1/2</sub>, hr) was comparable between intravenous  
168 and rectal artesunate [0.525 (0.325-0.770) vs. 0.571 (0.299-1.08)] (Table 5). The exposure  
169 and bioavailability of artesunate and dihydroartemisinin, were comparable between  
170 patients who received rectal artesunate at time 0 and those who received it at 12 hours.  
171 Similarly, exposure was comparable after IV artesunate regardless the time of  
172 administration. Children received a median (IQR) of 10.5 mg/kg (9.1-12.0) of rectal  
173 artesunate, corresponding well with the intended target dose (Table 5S). Fourteen children  
174 (17.0%) received less than 9.0 mg/kg [median (IQR) 8.3 mg/kg; 8.0-8.3] and 13 children  
175 (15.9%) received more than 13.0 mg/kg (14.3 mg/kg; 13.3-15.4). There were no significant  
176 differences in the pharmacological parameters (bioavailability, p=0.37 for artesunate  
177 and p=0.57 for dihydroartemisinin) or pharmacodynamic parameters (parasite clearance  
178 time, p=0.90) between children who received a higher (≥13.0 mg/kg, n=13) or lower dose  
179 (<9.0 mg/kg, n=14) compared to the target dose (9.0-12.9, n=55). No significant differences  
180 were observed between patients who received a blood transfusion after admission and  
181 those who did not, within each treatment arm. After rectal artesunate administration,  
182 nourished (n=54), malnourished (n=17) and severely malnourished (n=9) children had

183 significantly different median (IQR) exposure ( $AUC_T/dose$ ) to artesunate [2.28 (1.12-3.52) h x  
184 nM/ $\mu$ mole, 2.32 (1.71-5.19) h x nM/ $\mu$ mole and 4.99 (4.36-6.45) h x nM/ $\mu$ mole, respectively;  
185  $p=0.04$ ] and dihydroartemisinin [5.87 (3.38-11.1) h x nM/ $\mu$ mole, 7.24 (4.93-14.4) h x  
186 nM/ $\mu$ mole, and 16.5 (7.96-25.8) h x nM/ $\mu$ mole, respectively,  $p=0.02$ ]. In contrast,  
187 bioavailability was comparable between these three groups ( $p>0.05$ ).

## 188 Discussion

189 This pharmacokinetic study in children with severe *falciparum* malaria showed that  
190 artesunate is rapidly absorbed by most patients after rectal administration: 98.7% of  
191 children reached  $IC_{50}$  within a median (IQR) time of 0.25 hr (0.25-0.50), and 92.5% of them  
192 reached  $IC_{90}$  within 0.25 hr (0.25-0.50). The median time spent above  $IC_{50}$  was more than  
193 five hours, indicating that the rectal formulation will start and continue its parasitocidal  
194 activity during transportation to a medical facility. Rectal artesunate suppositories were able  
195 to reduce parasitaemia rapidly, as shown by the similar rates of parasitaemia reduction after  
196 treatment with either rectal or parenteral artesunate. Therefore, any differences in  
197 pharmacokinetic parameters between arms and the variability observed, did not translate  
198 into a worse pharmacodynamic profile, confirming previous results in studies of patients  
199 with severe and moderately severe *falciparum* malaria (6, 11). Artemisinin derivatives clear  
200 parasitaemia more rapidly than other drugs, and by acting on ring stages prevent parasites  
201 from maturing and sequestering. In contrast, quinine acts only in a limited manner on ring  
202 stages (18) and the initial parasitaemia reduction observed, although dependent upon the  
203 mean age of development of circulating parasites, is typically slower than with artemisinin  
204 derivatives (19). Therefore, we assume that the administration of quinine in our study  
205 affected only a negligent proportion of the peripheral parasitaemia and the fast parasite

206 reduction observed was mainly the result of the absorbed artesunate. These results support  
207 giving pre-referral artesunate to all children suspected of having malaria who cannot reliably  
208 take oral medications, including children who might have otherwise uncomplicated malaria  
209 with repeated vomiting to profoundly ill unconscious children. The quick absorption of  
210 artesunate after rectal administration is encouraging, but the formulation also exhibits a  
211 high variability in exposure due to the very high variability in absorption. However, as rectal  
212 artesunate is not a replacement for intravenous treatment, but instead an early start of the  
213 treatment while being transported to the hospital, the total exposure is not the most  
214 important pharmacokinetic parameter. Instead, it is important to absorb enough of the drug  
215 to reach effective concentrations rapidly. Most children received appropriate doses of rectal  
216 artesunate, according to the target dose of 10 mg/kg, and no significant differences in  
217 bioavailability or parasitaemia reduction were observed in the groups that received lower or  
218 higher doses, allaying any potential concerns related to a reduced efficacy or toxicity.  
219 Although the study was not designed or sufficiently powered to detect a difference in the  
220 rate of adverse events between treatments, the number was low and comparable between  
221 arms. Malnutrition is frequent in malaria endemic areas and it has been associated with an  
222 increased risk of reduced antimalarial drug exposure (20). In this study, malnourished and  
223 severely malnourished children had a slightly higher drug exposure compared to the other  
224 children. The severely malnourished group was small in the present study and a larger study  
225 would be needed to investigate this result further. In line with 2010 WHO recommendations  
226 (21), rectal artesunate was included in the National Guidelines of DRC in 2012, although its  
227 deployment on a large scale has since been delayed (22). The results of this study support  
228 country-wide deployment of this intervention in the Democratic Republic of Congo.

229 **Conclusions**

230 Clearly parenteral artesunate is preferable to rectal administration, but this is not an option  
231 in many villages and rural health centres in resource limited areas. Despite large inter-  
232 individual variability, rectal artesunate can initiate and sustain rapid parasitocidal activity in  
233 most children with severe *falciparum* malaria, while they are transferred to a facility where  
234 parenteral artesunate is available.

235 **METHODS**

236 **Study site**

237 This trial was conducted by the Kinshasa School of Public Health – University of Oxford  
238 Medical Research Unit (KIMORU) team, at Kingasani Hospital, Kinshasa, the Democratic  
239 Republic of Congo. Malaria transmission in the area is high and perennial.

240 **Trial design**

241 This was an individually randomized, open labelled, 2-arm, cross-over clinical trial in children  
242 admitted to hospital with severe malaria (23). A weight group-stratified 1:1 randomization  
243 design was used, with three blocks for each arm according to body weight (6.0-12.9 kg,  
244 13.0-23.9 kg and 24.0-34.0 kg), in order to have the same number of patients administered  
245 1, 2 or 3 suppositories. A computer-generated randomisation list was prepared by a study  
246 statistician. Treatment allocation was concealed in sequential opaque envelopes prepared  
247 by an independent person. The intervention was assigned by the study nurse, after the  
248 doctor confirmed eligibility and the caregiver had signed the informed consent. When the  
249 envelope was opened and signed, the patient was considered enrolled.

250 **Eligibility criteria for participants**

251 Children were included in the study if they fulfilled the WHO criteria for severe *falciparum*  
252 malaria (23), had a weight  $\geq 6.0$  kg and  $\leq 34.0$  kg, a positive malaria Ag Pf/Pan SD BIOLINE  
253 RDT and their parent or carer gave fully informed consent. Children were not included if  
254 they had acute diarrhoea (defined as  $>3$  liquid stools in the previous 24 hours), visible  
255 anorectal malformations or a disease of the rectum, known hypersensitivity to quinine or  
256 artesunate, a documented history of an effective dose of parenteral antimalarial in the  
257 preceding 24 hours, a single dose of rectal artesunate in the previous 12 hours, a dose of an  
258 ACT in the previous 6 hours, a co-morbidity which could have interfered with the study or  
259 put at risk the patient, or participation in another clinical trial or earlier in the same clinical  
260 trial.

261 **Interventions**

262 Children were randomised to receive either 1 dose of rectal artesunate (approximating as  
263 closely as possible to 10 mg/kg) on admission (time 0) followed 12 hours later by  
264 intravenous artesunate (2.4 mg/kg) (RAS<sub>f</sub> arm) or the reverse order (IVAS<sub>f</sub> arm). Children  
265 were observed for 1 hour, and if the suppository was expelled within 60 minutes, a single  
266 attempt was made to re-administer a second dose (the second dose was equal to the  
267 number of suppositories expelled). As the absorption of rectal artesunate is known to be  
268 erratic, all children were given intravenous quinine (20 mg salt/kg loading dose at  
269 presentation followed by 10 mg/kg 8 hourly) by rate-controlled infusion for a total of three  
270 doses (1). Quinine and artesunate can be administered concomitantly without risk (24). The  
271 quinine infusion was started immediately after the study drug. If a blood transfusion was  
272 needed at admission, quinine was started after the blood transfusion was terminated,

273 although the first dose of the study drug was not delayed. After 24 hours, all patients  
274 continued antimalarial therapy with parenteral artesunate followed by a full standard  
275 course of artemether-lumefantrine as soon they were able to take oral medication. If the  
276 child was discharged before the oral treatment was terminated the remaining doses were  
277 given to the caregiver for home administration.

#### 278 **Study drugs**

279 Intravenous artesunate (Guilin Pharmaceuticals, China), intravenous quinine (Rotex,  
280 Germany) and Coartem® (Novartis) were purchased from Medical Expert Group, Gorinchem,  
281 The Netherlands. Rectal artesunate, in suppositories of 100 mg each, was produced by  
282 Catalent, Germany Eberbach GmbH, packed by Scanpharm, Copenhagen, Denmark and  
283 provided by the World Health Organization for this study.

#### 284 **Outcomes**

285 The primary objective of the study was to assess the pharmacokinetics of rectal artesunate  
286 in paediatric patients with severe *P. falciparum* malaria. The secondary objective was  
287 characterisation of the clinical and parasitological responses to rectal artesunate compared  
288 to intravenous artesunate. A randomised sequence cross-over design was employed to  
289 characterise the bioavailability of rectal artesunate and to characterise the individual  
290 absorption profiles of both artesunate and dihydroartemisinin. From a therapeutic  
291 perspective, rectal artesunate aims to achieve minimum parasitocidal concentrations (MPC)  
292 as soon as possible. Both artesunate and dihydroartemisinin exhibit parasitocidal effects and  
293 therefore the sum of the molar artesunate and dihydroartemisinin concentrations were  
294 evaluated and the time to reach, time above, and the proportion of patients to reach a

295 putative IC<sub>50</sub> and IC<sub>90</sub> (*i.e.*, 34.9 nM and 314 nM, respectively) were considered the primary  
296 end-points with conventional pharmacokinetic measures as secondary end-points (e.g.,  
297 bioavailability). The IC<sub>50</sub> value was taken from the estimated EC<sub>50</sub> (concentration in the  
298 dihydroartemisinin effect compartment) (25), and the IC<sub>90</sub> was calculated from the IC<sub>50</sub>.

### 299 **Investigations**

300 Malaria at screening was confirmed by Malaria Ag Pf/Pan SD BIOLINE Rapid Diagnostic Test.  
301 A malaria blood film was prepared at admission, 0 (pre-dose), 6, and 12 hours, and  
302 thereafter every 12 hours until 2 consecutive blood films were negative. Parasites were  
303 identified and counted by standard light microscopy. Haemoglobin (Hb) and haematocrit  
304 (Hct) were assessed at the same time points as the blood films using HemoCue Hb301+®  
305 (Angelholm, Sweden) and Hawksley Haematospin 1400 (Hawksley & Sons, Ltd. UK). Total  
306 and differential white blood cell (WBC) counts were measured using QBC Star™ at 0, 24 and  
307 72 hours. Biochemistry tests were performed at 0 and 24 hours by i-STAT using the CHEM8  
308 cartridge for electrolytes and the CG4 cartridge for blood gases. Haemoglobin S trait was  
309 detected by electrophoresis using the SEBIA Hydragel Hemoglobin K20 Kit. Quantification of  
310 plasma PfHRP2 by ELISA (Celisa, Cellabs, Sydney, Australia) was performed at Mahidol  
311 Oxford Tropical Medicine Research Unit (MORU) laboratories, Bangkok, Thailand.  
312 Laboratory technicians were blinded to study treatment allocation.

### 313 **Pharmacokinetic blood sampling**

314 Eleven blood samples were taken at fixed intervals, pre-treatment, 5, 15, 30, 45 minutes, 1,  
315 2, 3, 4, 6 and 8 hours after the administration of the first dose of study drug. The sampling  
316 scheme was repeated after 12 hours following administration of the second dose of the

317 study drug. Blood was sampled through an indwelling cannula in the arm opposite to that  
318 used for intravenous drug administration; 1 mL of blood was collected into pre-chilled  
319 fluoride oxalate tubes for artesunate and dihydroartemisinin quantification. Samples were  
320 centrifuged at 4°C and 2,000g for 7 minutes. Plasma samples were stored at -80°C until they  
321 were shipped to the MORU Department of Clinical Pharmacology, Bangkok, Thailand, for  
322 drug quantification. Artesunate and dihydroartemisinin were quantified using liquid  
323 chromatography-tandem mass-spectrometry (26). The coefficient of variation of the assay  
324 was less than 7% at each level of quality control samples and the Lower Limit of  
325 Quantification (LLOQ) was set to 1.19 ng/mL and 1.96 ng/mL for artesunate and  
326 dihydroartemisinin, respectively.

### 327 **Patient management**

328 Patients were managed according to WHO Guidelines for the management of severe  
329 malaria (1). Fever was treated with parenteral paracetamol 20 mg/kg. Hypoglycaemia  
330 (blood glucose <3 mmol/l) was treated with an IV bolus of 5 ml/kg of 10% dextrose. A blood  
331 transfusion (20 ml/kg) was given to children with haemoglobin concentrations of <5 g/dl. A  
332 fluid bolus was given to children with signs of shock. Convulsions were treated with IV  
333 diazepam. All children were given ceftriaxone intravenously (75 mg/kg at time 0 and after  
334 12 hours).

### 335 **Assessment at follow-up visits**

336 Patients were hospitalized for at least 4 days, or longer if they were still unwell, and  
337 discharged after at least the first dose of the oral follow-on treatment was administered.

338 Parents/guardians were asked to bring the child back to the clinic at day 7 (if they were  
339 discharged earlier) and 14 for clinical examination, neurological exam and laboratory tests.

#### 340 **Ethics**

341 The study was approved by the Ethical Committee of the Kinshasa School of Public Health,  
342 the Ministry of Public Health of DRC and the Oxford University Tropical Research Ethics  
343 Committee (OXTREC). The study documents were originally designed in English. The  
344 protocol was translated into French, the Patient Information Sheet and Informed Consent  
345 into French and Lingala by a certified translator. Safety reporting was performed according  
346 to the ICH Harmonized Tripartite Guideline for Good Clinical Practice (1996).  
347 ClinicalTrials.gov Identifier: NCT02492178.

#### 348 **Sample size**

349 This was considered a bioequivalence study, comparing a new formulation (rectal) to a  
350 reference (intravenous). Previous data in uncomplicated and moderately severe malaria in  
351 paediatric patients showed that rectal artesunate is characterised by a large inter and intra-  
352 individual variability (11-13). Assuming a within-subject coefficient of variation of 40% (27,  
353 28), CV, a sample size of 72 patients was estimated to be sufficient to assess bioequivalence  
354 of the two drugs, with 90% power and 95% confidence, including 10% loss to follow-up. This  
355 sample size was calculated using the formula by Julious for cross-over studies (29).

#### 356 **Statistical analysis**

357 Medical histories of patients were described using frequencies (%) for each study arm.  
358 Clinical and parasitological responses to treatment were reported using geometric means

359 (GM) with 95% confidence intervals (CI), median (interquartile range (IQR) or range) or  
360 mean (SD), and compared between treatment arms using the Kruskal-Wallis test or  
361 Student's t-test. Haemoglobin (Hb) comparisons after baseline were adjusted for baseline  
362 values of Hb. Parasite clearance half-life ( $PC_{1/2}$ ), lag time (t-lag), and the time to clear 50, 90,  
363 95 and 99% of parasites was calculated using the Parasite Clearance Estimator developed by  
364 WWARN (30), which was modified to allow for a lower threshold of parasitaemia at time 0.  
365 The parasite reduction ratio was calculated as the difference at 12 hours from baseline,  
366 divided by the baseline parasite count. Pharmacokinetic parameters were compared  
367 between treatment arms as well as between children who received higher ( $\geq 13.0$  mg/kg) or  
368 lower doses ( $< 9.0$  mg/kg) compared with the target dose (9.0-12.9), between nourished,  
369 malnourished and severely malnourished children, and between patients who received  
370 blood transfusion or not. The subgroup of children who did not expel their suppositories  
371 was also assessed. Statistical analyses were performed using STATA IC 14.0 (STATA  
372 Corporation, college station, Texas 77845, USA) and GraphPad Prism Software (San Diego,  
373 California 92108 USA).

#### 374 **Pharmacokinetic analysis**

375 Artesunate and dihydroartemisinin concentration-time profiles were analysed on an  
376 individual level using a standard non-compartmental approach in Phoenix<sup>®</sup> 64 (Certara USA,  
377 Inc., Princeton, USA). All concentrations at time zero were set to zero. The concentration at  
378 the time point when the concentration-time profile went permanently below the LLOQ for  
379 the first time was set to half the LLOQ. Artesunate data after IV administration were  
380 analysed assuming an infusion using the true injection times for patients, while the rectal  
381 administration as well as the dihydroartemisinin data after IV and rectal administration was

382 handled as extravascular administration. The observed concentration-time profiles were  
383 used to derive the maximum concentration ( $C_{MAX}$ ), the time to maximum concentration  
384 ( $T_{MAX}$ ), and the time to the last measured concentration ( $T_{LAST}$ ). Total drug exposure (area  
385 under the concentration-time curve;  $AUC_T$ ) were calculated using the observed data, from  
386 drug administration to the last time point. The calculations of AUC were based on the  
387 trapezoid method, using the linear method before  $C_{MAX}$  and the logarithmic method after  
388  $C_{MAX}$ . Terminal elimination half-life ( $t_{1/2}$ ) was based on the best fit of the terminal portion of  
389 the elimination phase. Absolute rectal bioavailability ( $F$ ) was estimated based on individual  
390 drug exposures after rectal and intravenous administration, according to the equation  
391 below:

$$F = \frac{AUC_{T,rectal}}{AUC_{T,IV}} \times \frac{Dose_{IV}}{Dose_{rectal}}$$

392 Time to reach a putative  $IC_{50}$  and  $IC_{90}$  value (25), the time spent above these values, and the  
393 proportion of patients who reached this value were derived directly from the observed  
394 concentration-time profiles. Pharmacokinetic parameters from each mode of administration  
395 were compared using a Mann-Whitney U test, to determine the effect of drug  
396 administration time (0 or 12 hours) and of blood transfusion, and with Kruskal-Wallis test to  
397 compare severely malnourished, malnourished and nourished children.

#### 398 **Study contribution**

399 Study design: NJW, CF, MO, JT, ND, MG. Data collection: MO, CF, DK, CK, PN, BB. Statistical  
400 analysis: SJL, CF, RH, JT. Laboratory analysis: CW, BB, PN. Manuscript writing: CF, SJL, RH,  
401 NJW.

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412 **Declaration of interests**

413 There are no conflicts of interest

414 **Data availability**

415 The data that support the findings are available from the authors upon reasonable request  
416 and with permission of the University of Oxford and the Kinshasa School of Public Health.

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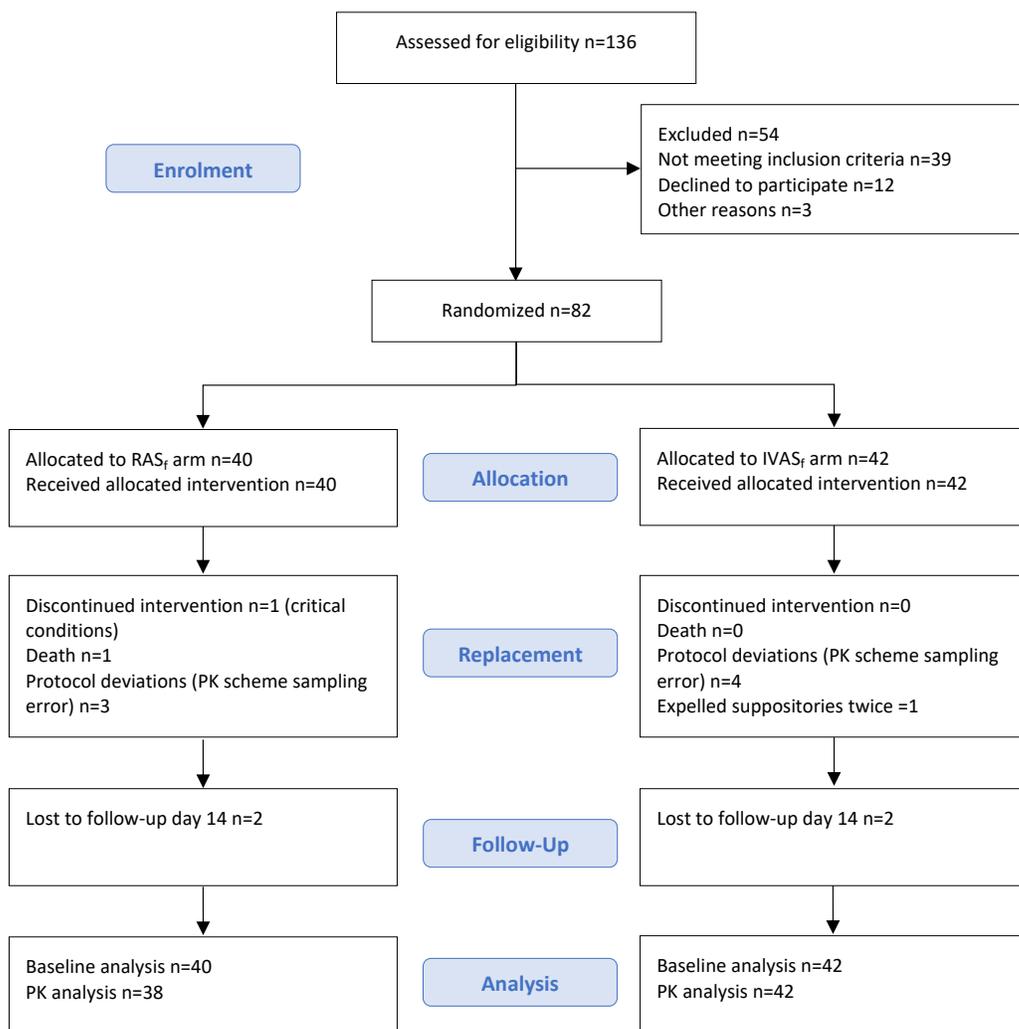
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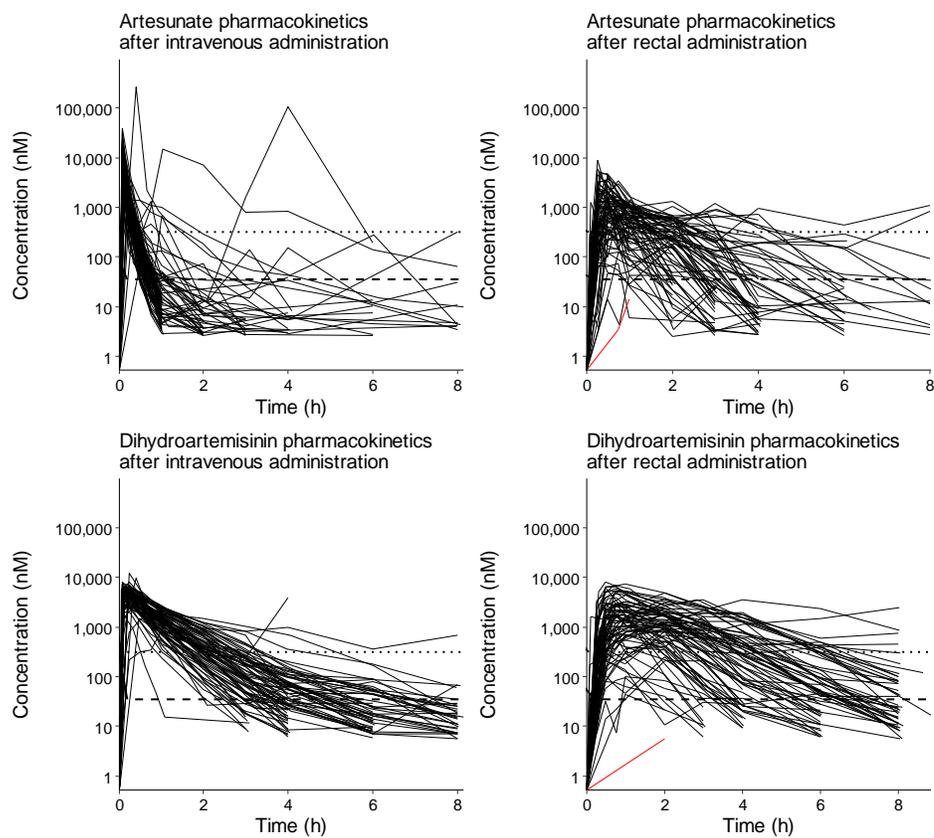
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## FIGURES

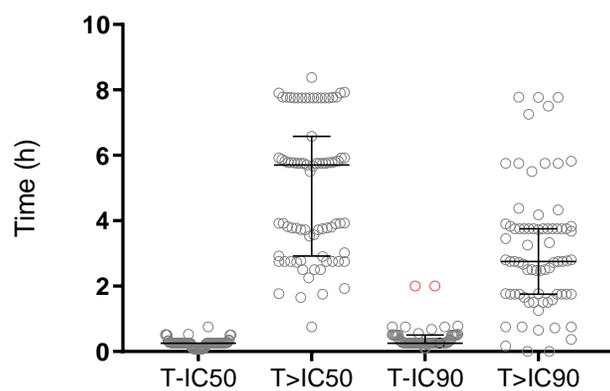
**Figure 1.** Flow chart CONSORT



**Figure 2.** Observed individual artesunate concentration-time profiles. Artesunate and dihydroartemisinin, administered intravenously or rectally. The dashed horizontal line represents a putative  $IC_{50}$  value of 34.9 nM and the dotted horizontal line represents a putative  $IC_{90}$  value of 314 nM. The red line represents the patient who did not reach the  $IC_{50}$  value after rectal administration.



**Figure 3.** Graphical representation of time to putative  $IC_{50}$  ( $T-IC_{50}$ ), time above  $IC_{50}$  ( $T>IC_{50}$ ), time to putative  $IC_{90}$  ( $T-IC_{90}$ ), and time above  $IC_{90}$  ( $T>IC_{90}$ ) after rectal artesunate administration. Concentrations were measured as the sum of molar artesunate and dihydroartemisinin concentrations. Markers represent individual values and lines represent the median value and its interquartile range. The graphic shows only data for patients who reached the cut-off ( $IC_{50}$  or  $IC_{90}$ ). One patient did not reach  $IC_{50}$ . The red dots indicate the 2 cases with a longer time to reach  $IC_{90}$ .



## TABLES

Table 1. Baseline data

Variables	RAS <sub>f</sub>	IVAS <sub>f</sub>
Evaluated <sup>a</sup>	40	42
Median age (IQR), yrs.	4.67 (2.8, 8.1)	4 (2.8, 8.8)
Median weight (IQR), kg.	15.3 (12.0, 25.0)	14.3 (12.0, 24.5)
Median height (IQR), cm.	102 (89.0, 129.0) n=39	98 (88.0, 131.0)
Median MUAC (IQR), cm.	16.0 (14.5, 18.0)	15.2 (14.0, 17.0)
No. male (%)	21 (52.5)	20 (47.6)
No. with enlarged liver (%)	24 (60.0)	28 (66.7)
Median enlarg. (IQR), cm.	2.0 (0, 3.5)	3 (0, 4.0)
No. with enlarged spleen (%)	29 (72.50)	30 (71.43)
Median enlarg. (IQR), cm.	3.0 (0, 4)	3.0 (0,4)
Sickle cell trait (%)	1/39 (2.6)	4/40 (10.0)
Sickle cell disease	0/39	2/40 (5.0)
Mean HCT (SD), %	21.3 (7.6)	20.6 (7.1)
Mean Hb (SD), g/dL	7.1 (2.5)	6.9 (2.3)
Geo. mean parasites/ $\mu$ L (95% CI) Screening	33,733 (15,031- 75,702) n=37	46,067 (19,484 - 108,920) n=39
Median PfHRP2 (range), ng/mL	1,674.1 (8.6 to 21,540.8) n=39	1,442.8 (35.8 to 25,000.0) n=42
Mean BP systolic (SD), mmHg	90.6 (7.8) n=38	92.6 (9.6) n=41
Mean BP diastolic (SD), mmHg	53.7 (7.4) n=38	55 (6.7) n=41
Median heart rate (IQR), bpm	146 (126, 163) n=39	146.5 (123, 154)
Median respiratory rate (IQR), bpm	48 (40, 60)	44 (42, 52)
Mean temperature (SD), °C	38.0 (1.1)	37.9 (1.1)
Blood transfusion (%)	26 (65.0)	27 (64.3)

<sup>a</sup> Unless indicated otherwise

**Table 2.** Summary of parasite clearance time by study arm

Parameters <sup>a</sup>	RAS <sub>f</sub>	IVAS <sub>f</sub>	p-value
<b>Individual profiles analysed</b>	35	40	
<b>Slope half-life, hrs</b>			0.64
Median	2.2	2.5	
Range	1.3-7.6	1.2-12.0	
Geom. Mean (95% CI)	2.3 (2.0; 2.6)	2.43 (2.1; 2.8)	
<b>t-lag hrs</b>			0.81
Median	0	0	
Range	0-12	0-24	
IQR	0-6	0-6	
Geom. Mean (95% CI)	6.9 (5.9- 8.1) n=5	8.3 (6.2, 11.0) n=15	
<b>Median pc50 (range) hrs</b>	7.1 (0.3, 15.1)	6.8 (0.4, 24.4)	0.28
<b>Median pc90 (range) hrs</b>	11.8 (4.1, 25.7)	13.9 (3.5, 41.8)	0.16
<b>Median pc95 (range) hrs</b>	14.0 (5.7, 33.3)	16.8 (4.8, 53.8)	0.13
<b>Median pc99 (range) hrs</b>	18.8 (9.1, 50.8)	22.1 (7.8, 81.7)	0.14

<sup>a</sup> Unless indicated otherwise**Table 3.** Haematology at 0 and 12 hours by arm

Parameters	RAS <sub>f</sub>	IVAS <sub>f</sub>	p-value
<b>Mean (SD) haemoglobin</b>			
At hour 0	7.1 (2.6) n=39	6.88 (2.3) n=42	
At hour 12	9.1 (1.6) n=38	8.7 (2.2) n=42	
Median (IQR) within individual difference (from H0 to H12)	-2.5 (-4.3, 0.7) n=80	-2.2 (-3.9, 0.6) n=80	0.75
<b>Geometric mean (95% CI) parasitaemia</b>			
At hour 0	40,111 (18,788, 85,636) n=36	40,658 (16,261, 101,656) n=40	
At hour 12	5,420 (1,853, 15,851) n=34	8,518 (2,721, 26,667) n=38	
Median (IQR) within individual difference (from H0 to H12)	6.3 (2.0, 18.1) n=72	3.0 (1.8, 12.0) n=72	0.37

**Table 4.** List of Adverse Events

Adverse Event	RAS <sub>f</sub>	IVAS <sub>f</sub>	Total
Electrolytes changes	15	16	31
WBC/platelets changes	2	5	7
Expelled artesunate suppository <sup>a</sup>	5	5	10
Pruritus/cutaneous rash <sup>a, b</sup>	0	1	1
Urticaria <sup>c</sup>	0	1	1
Viral/bacterial infection suspected	2	5	7
Intestinal parasite infection suspected	1	1	2
Vomit	0	1	1
Epistaxis	0	1	1
Swollen face/Acute Renal Failure	0	1	1
Hypersialosis/Acute Renal Failure	0	1	1
Conjunctivitis (day 14)	1	0	1
Gastroenteritis (day 14)	1	0	1

<sup>a</sup> Classified as possibly related;

<sup>b</sup> Developed after IV AS administration;

<sup>c</sup> Developed 8 minutes after blood transfusion started

**Table 5.** Pharmacometric parameters of artesunate and dihydroartemisinin after intravenous and rectal administration of artesunate in children with severe malaria

Parameters	Intravenous administration median (IQR)	Rectal administration median (IQR)
<b>Artesunate</b>		
Analysed, n	80	80
T <sub>MAX</sub> (h)	0.083 (0.083-0.100)	0.500 (0.250-0.750)
C <sub>MAX</sub> (nM)	6,660 (3,770-13,500)	442 (213-813)
C <sub>MAX</sub> /D (nM/μmole)	71.0 (40.6-99.1)	2.08 (1.05-4.26)
T <sub>LAST</sub> (h)	3.00 (2.00-6.00)	6.00 (4.00-8.00)
AUC <sub>T</sub> /D (h × nM/μmole)	10.2 (5.92-14.0)	2.57 (1.68-4.57)
t <sub>1/2</sub> (h)	0.571 (0.299-1.08) <sup>a</sup>	0.525 (0.325-0.770) <sup>b</sup>
F (%)	-	25.6 (11.7-54.5)
<b>Dihydroartemisinin</b>		
T <sub>MAX</sub> (h)	0.250 (0.083-0.250)	1.00 (0.750-2.00)
C <sub>MAX</sub> (nM)	5,100 (3,850-5,860)	1,800 (822-3,100)
C <sub>MAX</sub> /D (n M/μmole)	40.8 (28.6-74.3)	3.34 (1.88-6.44)
T <sub>LAST</sub> (h)	8.00 (6.00-8.00)	8.00 (7.89-8.00)
AUC <sub>T</sub> /D (h × n M/μmole)	37.4 (24.3-61.2)	6.97 (3.94-14.5)
t <sub>1/2</sub> (h)	0.882 (0.686-1.19) <sup>c</sup>	0.865 (0.681-1.18) <sup>d</sup>
F (%)	-	19.8 (10.3-35.3)

<sup>a</sup> One individual was excluded from the analysis due to a lack of data in the elimination phase.

<sup>b</sup> Six individuals were excluded from the analysis due to a lack of data in the elimination phase.

<sup>c</sup> One individuals were excluded from the analysis due to a lack of data in the elimination phase.

<sup>d</sup> Seven individuals were excluded from the analysis due to a lack of data in the elimination phase.

Abbreviations IQR: inter-quartile range; C<sub>MAX</sub>: maximum concentration; T<sub>MAX</sub>: time to reach the maximum concentration; T<sub>LAST</sub>: time to the last observation; AUC<sub>LAST</sub>: exposure measured until the last observation; t<sub>1/2</sub>: elimination half-life; F: absolute rectal bioavailability; D: dose